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PTO/SB/05 (03-01)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9661-041-999	Total Pages	87
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First Named Inventor or Application Identifier	
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Chi-Ming CHE

Express Mail Label No.	EV335857070US
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APPLICATION ELEMENTS

See MPEP chapters 600 concerning utility patent application contents.

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix) |
| 2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27 | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 64]

<i>(preferred arrangement set forth below, MPEP 1503.01)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description of the Invention (including drawings, if filed) - Claim(s) - Abstract of the Disclosure | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
37 CFR 3.73(b) Statement
<i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 16] | 10. <input type="checkbox"/> English Translation Document (if applicable)
Information Disclosure Statement (IDS)/PTO-1449 <ul style="list-style-type: none"> <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>(Should be specifically itemized)</i> <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(If foreign priority is claimed)</i> <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent |
| 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 2] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Other:

12. <input type="checkbox"/> Group Art Unit:

13. <input type="checkbox"/> Copies of IDS Citations |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 14. <input checked="" type="checkbox"/> Prior art Unit:

15. <input type="checkbox"/> Other:

16. <input type="checkbox"/> Other:

17. <input type="checkbox"/> Other: |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) | of prior application No.: filed

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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PENNIE & EDMONDS LLP
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ATTORNEY DOCKET NO. 9661-041-999

Date: September 16, 2003

**Commissioner for Patents
P.O. Box 1450
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Alexandria, VA 22313-1450**

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Chi-Ming CHE **Executed on:** September 16, 2003

Title of Invention: METHODS FOR USIGN GOLD(III) COMPLEXES AS ANTI-TUMOR AND ANTI-HIV AGENTS

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	63	- 20	43	\$18.00 each	\$ 774
Independent	12	- 3	9	\$84.00 each	\$ 756
			Minimum Fee		\$ 750
			Multiple Dependency Fee		\$ 0
			If Applicable (\$280.00)		
				Total	\$ 2280
			Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern		\$ 1140
				Total Filing Fee	\$ 1140

- [] **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

[X] Priority of application no. 60/411,423 filed on September 16, 2002 is claimed under 35 U.S.C. § 119.

[] The certified copy of the priority application has been filed in application no. filed on .

[] Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,
Daniel L. Pecoshaw, Reg. No. 53,112 for
Gideon D. Stern 27,469
Gideon D. Stern Reg No.
PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.